

REGUSHIELD AI AUDIT REPORT

Case Reference: CASE-2026-2477

Case ID: 18

Workflow: transaction_monitoring

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Audit Hash: 9315338d72f34a3bdea613ccf6e612b504fdd101d11db924d252d1775f730d5b

RISK MATRIX

Risk Level: low

Risk Score: 25

Status: open

TRANSACTION CONTEXT

Amount: EUR 5000

Transaction Count (24h): 2

Customer Type: business

Customer Risk Level: medium

Route: DE -> LT

Cross-Border: Yes

PEP Flag: No

Suspicious Pattern: No

COMPLIANCE INPUTS

KYC Status: complete

Sanctions Screening: passed

Source of Funds: verified

AI REASONING

Currently low risk while the engine continues to track cross-border route for ongoing audit traceability.

LEGAL BASIS

- AML6 - High-Risk Factors / Cross-Border Review
- EU AI Act Art. 13 - Transparency & Explainability
- AVNT / KGK / ISA Audit Readiness

NARRATIVE

The conducted transaction with an amount of €5000 is categorized as a business transaction between Germany and Lithuania. With a comprehensive KYC process in place and verified source of funds, the transaction poses minimal operational risks. The lack of flags for suspicious patterns or sanctions allows for a favorable assessment. Despite the cross-border element, ongoing monitoring is recommended as part of standard compliance measures.

RECOMMENDED ACTIONS

- Conduct regular training on compliance policies for staff [low / open]
- Ensure that source of funds documentation remains up-to-date [low / open]
- Continue routine monitoring of transactions [low / open]

NOTES

Normal case

END OF REPORT